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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/826,733

Filing Date April 16, 2004

First Named Inventor Masataka Shinoda

Art Unit 2655

Examiner Name Unassigned

Attorney Docket Number 075834.00485

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/ Incomplete Application

☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

Remarks

The Commissioner is hereby authorized to charge any fees due or credit any overpayment to Deposit account No. 50-1794

CUSTOMER NO. 33448

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Robert J. Depke, Holland & Knight LLP, 131 S. Dearborn St., 30th Fl, Chicago, Illinois 60603

Signature

Printed name

Robert J. Depke Esq.

Date

11/19/04

Reg. No.

37,607

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Robert J. Depke Esq.

Date

11/19/04

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ITW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.: 26,733 **Confirmation No.:** N/A

Applicant: Masataka Shinoda

Filed: April 16, 2004

TC/A.U.: 2655

Examiner: Unassigned

Docket No.: 075834.00485

Customer No.: 33448

INFORMATION DISCLOSURE STATEMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying form PTO/SB/08A.

CERTIFICATION UNDER 37 C.F.R. §1.97(e)

Applicants hereby certify, as is required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each item of information contained in the Information Disclosure Statement is submitted prior to receipt of a First Office Action on the merits and thus no fee is due for consideration of this document.

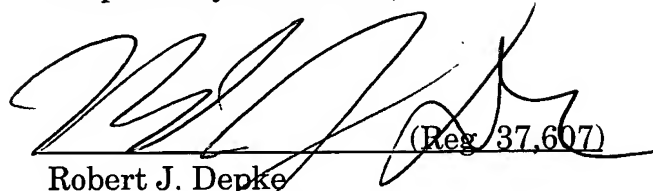
The undersigned also points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicants reserve the

right to challenge the status of any such document that the office determines to be prior art.

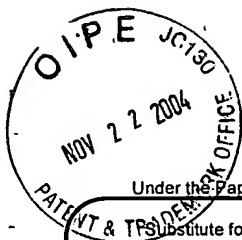
Respectfully submitted,

Date:

11/19/04

A handwritten signature in black ink, appearing to read 'R. Depke', is written over a horizontal line. To the right of the signature, the text '(Reg. 37,607)' is printed.

Robert J. Depke
HOLLAND & KNIGHT LLC
131 South Dearborn, 30th Floor
Chicago, IL 60603
(312) 422-9050



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/826,733
Filing Date	April 16, 2004
First Named Inventor	Masataka Shinoda
Art Unit	2655
Examiner Name	Unassigned
Attorney Docket Number	075834.00485

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 5004307	04/02/1991	Kino et al.	
	2	US-			
	3	US-			
	4	US-			
	5	US-			
	6	US-			
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	11	US-			
	12	US-			
	13	US-			
	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	1					
	2					
	3					
	4					
	5					
	6					

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/826,733		
		Filing Date	April 16, 2004		
		First Named Inventor	Masataka Shinoda		
		Art Unit	2655		
		Examiner Name	Unassigned		
Sheet	2	of	2	Attorney Docket Number	075834.00485

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		TOM D. MILSTER, Chromatic Correction of High-Performance Solid Immersion Lens Systems, Optical Data Storage Center/Optical Science Center, University of Arizona, 12/08/1988	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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